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PATENT
0505-4002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher KEITH

Filed: March 8, 2001

Art Unit: 2165

Examiner:

Serial No.: 09/801,848

Confirmation No.: 1156

Title: PLATFORM FOR MARKET PROGRAMS AND TRADING PROGRAMS

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. The items listed on Form PTO 1449, a copy of which is enclosed, may be pertinent to the above-referenced application and are made of record to assist the Patent Office in its examination of this application. The Examiner is requested to fully consider the items and independently ascertain their teaching.

No fee is due since this Information Disclosure Statement is being filed

[x] before the mailing date of a first Office Action on the merits.

Respectfully submitted,

Date: June 26, 2002

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New York, NY 10019
voice/fax 212 245-3940

Brenda Pomerance

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Reg. No. 36,894

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Substitute Form PTO 1449

INFORMATION DISCLOSURE STATEMENT

Sheet 1 of 1

Application No.	09/801,848
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Filing Date	March 8, 2001
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Inventor	Christopher Keith
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Group Art Unit	2165
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Examiner	TBA
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Atty. Docket No.	0505-4002
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U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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2165 NJ
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CERTIFICATE OF MAILING

RECEIVED
JUL 08 2002
GROUP 3600

I hereby certify that the following attached paper(s) and/or fee

1. Information Disclosure Statement
2. PTO Form 1449
3. Copies of 1 reference
4. Return receipt postcard

are being deposited with the United States Postal Service with sufficient postage as first class mail under 37 C.F.R. § 1.8 on the date indicated below in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Date: June 26, 2002

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